

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
112857-485

In Re Application Of: **Mizutani et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/519,898	12/22/2004	Unknown	29175	Unknown	Unknown

Title: **ANODE ACTIVE MATERIAL, METHOD OF MANUFACTURING THE SAME,  
AND NONAQUEOUS ELECTROLYTE SECONDARY BATTERY USING THE SAME**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

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**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as <u>first class mail in an envelope addressed to</u> "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____
1/26/05 (Date)
_____ Signature of Person Mailing Correspondence
Heather Foster
_____ Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

Dated: January 26, 2005

\_\_\_\_\_  
Signature

Thomas C. Basso  
Reg. No. 46,541  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690-1135

cc:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mizutani et al.  
Appl. No.: 10/519,898  
Conf. No.: Unknown  
Filed: December 22, 2004  
Title: ANODE-ACTIVE-MATERIAL, METHOD OF-MANUFACTURING THE  
SAME, AND NONAQUEOUS ELECTROLYTE SECONDARY BATTERY  
USING THE SAME  
Art Unit: Unknown  
Examiner: Unknown  
Docket No.: 112857-485

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

#### U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,950,566	August 21, 1990	Huggins et al.

#### FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
08-315825	November 29, 1996	Japan
11-102699	April 13, 1999	Japan
2000-173669	June 23, 2000	Japan
2000-173670	June 23, 2000	Japan
2000-311681	November 7, 2000	Japan
2001-068096	March 16, 2001	Japan
WO 2004/100293 A1	November 18, 2004	PCT

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



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Dated: January 26, 2005

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. 112857-485	Application No. Unknown
	Applicant Mizutani et al.	
	Filing Date December 22, 2004	Group Unknown

U.S. PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
		4,950,566	August 21, 1990	Huggins et al.			

FOREIGN PATENT DOCUMENTS								
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							Yes	No
		08-315825	November 29, 1996	Japan				
		11-102699	April 13, 1999	Japan				
		2000-173669	June 23, 2000	Japan				
		2000-173670	June 23, 2000	Japan				
		2000-311681	November 7, 2000	Japan				
		2001-068096	March 16, 2001	Japan				
		WO 2004/100293 A1	November 18, 2004	PCT				

Examiner's Initials		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	